IAP13 Rec'd PCT/PTO 29 SEP 2006

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER DNT-7 US 759477 see 37 CFR 1.5) PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/US2005/013864 20 April 2005 20 April 2004 TITLE OF INVENTION DENDRITIC POLYMERS WITH ENHANCED AMPLIFICATION AND INTERIOR FUNCTIONALITY APPLICANT(S) FOR DO/EO/US TOMALIA, Donald A.; SWANSON, Douglas R.; HUANG, Baohua; and PULGAM, Veera Reddy Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. ... 14 An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiatily is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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PTO-1390 (Rev. 09-2006)
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1 0/5 04 7 7 6			PCT/US2005/013864		DNT-7 US		
20. Other items or information:							
Declaration by VIII(iv) of Request; Power filed with PCT Appln.; Post Card							
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
21. P Basic national fee (37 CFR 1.492(a))				\$300	^{\$} 300		
22. Examination fee (37 CFR 1.492(c))							
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations					\$ 0		
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ O		
TOTAL OF 21, 22 and 23 =					\$300		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) in an electronic medium or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets			additional 50 or fraction p to a whole number)	RATE			
141-100=	41/50 =			× \$250	\$250		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$ O		
CLAIMS NUMB		ER FILED NUMBER EXTRA RA		RATE	\$		
Total claims		- 20 =	11	x \$ 50	s 550		
Independent claims		4 -3=	1	x \$200	\$ 200		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$ 0		
TOTAL OF ABOVE CALCULATIONS =					\$ 1,300		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							
SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest					\$ 650		
claimed priority date (37 CFR 1.492(i)).					\$ 0		
TOTAL NATIONAL FEE =					\$650		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$0		
TOTAL FEES ENCLOSED =					s 650		
					Amount to be refunded:	\$	
					Amount to be charged	\$	

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a. A check in the amount of \$_ ___ to cover the above fees is enclosed. Please charge my Deposit Account No. 503384 in the amount of \$ 650 to cover the above fees. A duplicate copy of this sheet is enclosed. c. 🔽 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 503384... A duplicate copy of this sheet is enclosed. a. 🗀 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. The PTO-2038 should only be mailed or faxed to the USPTO. However, when paying the basic national fee, the PTO-2038 may NOT be faxed to the USPTO. ADVISORY: If filing by EFS-Web, do NOT attach the PTO-2038 form as a PDF along with your EFS-Web submission. Please be advised that this is not recommended and by doing so your credit card Information may be displayed via PAIR. To protect your information, it is recommended paying fees online by using the electronic payment method. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Karen L. Kimble NAME 26,995 REGISTRATION NUMBER

PTO/SB/92 (09-06)
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Certificate of Mailing under 37 CFR 1.8 Trans mittal hetter 35 USC 3713 copy of Power Attorney; Copy of Regnest VIII (iv) Declaration; Apple Data Sheet; Imformation Disclosure Form; Preliminary Amendment; Post Card Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Express Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
on <u>Septomber 29</u> , 2006.
Lam X. Juille
Signature
Karen L. Kimble
Typed or printed name of person signing Certificate
26, 995 Registration Number, if applicable (989) 687-740 Telephone Number

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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